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PTO-1390 (Rev. 07-2005) Approved for use through 03/31/2007. OMB 0651-0021

U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 5232-0103PUS1 DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (if known, see 37 CPR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** DATE CLAIMED INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE 28 July 2003 PCT/JP2004/010623 26 July 2004 TITLE OF INVENTION LIGHT EMITTING APPARATUS, LED LIGHTING, LED LIGHT EMITTING APPARATUS, AND CONTROL METHOD OF LIGHT EMITTING APPARATUS APPLICANT(S) FOR DO/EO/US Yoshinori SHIMIZU; Ryuhei TSUJI; Tomoaki INUZUKA; Masayuki TARU; Katsunori MITANI; Harumi SAKURAGI and Yasuhiro KUNISAKI Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. X This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) x is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). 6. x is attached hereto. × has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. d. x An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. x 13. x A preliminary amendment. 14. An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification. 16. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825. 17. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 18. 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

AP20 POC OFFICE PTO-1390 (Rev-07-2005)
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. PERICATION NO (TIL KITOWIT, SEE INTERNATIONAL APPLICATION NO. PCT/JP2004/010623 ATTORNEY'S DOCKET NUMBER 5232-0103PUS1 20. x Other items or information: Return Receipt Postcard PCT/ISA/210; PCT/IB/304 and Thirty-eight pages (38) of Formal Drawings The following fees have been submitted CALCULATIONS PTO USEONLY Basic national fee (37 CFR 1.492(a)) \$300 \$ 300.00 21. x 22. x Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report \$ 200.00 prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)...... .50 All other situations 23. x Search fee (37 CFR 1.492(b)) Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an 400.00 previously communicated to the US by the IB..... All other situations. \$ 900.00 TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or fraction RATE Total Sheets Extra Sheets thereof (round up to a whole number) x \$250.00 \$ 250.00 139 - 100 = 39 Surcharge of \$130 for furnishing any of the search fee, examination fee, or the oath or declaration \$ after the date of commencement of the national stage (37 CFR 1.492(h)). NUMBER EXTRA NUMBER FILED RATE CLAIMS 0.00 20 - 20 = Total claims x 1,800.00 Independent claims 12 - 3 = 9 x 200.00 MULTIPLE DEPENDENT CLAIM(S) (if applicable) TOTAL OF ABOVE CALCULATIONS = \$ 2.950.00 Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. \$ 2,950.00 SUBTOTAL = Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). \$ \$ 2,950.00 **TOTAL NATIONAL FEE** Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$ 40.00 \$ TOTAL FEES ENCLOSED = \$ 2.990.00 Amount to be \$ refunded: Amount to be \$ charged

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NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed an granted to restore the International Application to pending status.				
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DEND ALL CONNECTIONS ENGLISHED	2.10.	SIGN	LATURE	
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